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Implant placement post extraction in esthetic single tooth sites: when immediate, when early, when late?

DANIEL BUSER, VIVIANNE CHAPPUIS, URS C. BELSER & STEPHEN CHEN

In the first 25 years of modern implant dentistry based on the concept of osseointegration (15, 96), implant placement was predominantly performed in healed sites of fully edentulous patients (1, 16). Most of these patients had been edentulous for years and the utilization of dental implants was aimed at improving masticatory function and the quality of life. In the 1980s, the application of dental implants started to be cautiously expanded into partially edentulous patients as well, and the first reports were published with promising results (27, 28, 104). Since then, the percentage of partially edentulous patients in implant dentistry has significantly increased and today, these indications predominate in daily practice, in particular single tooth gaps (12, 19). In the case of single tooth replacement, implant placement into healed sites has today completely lost its dominance, since the thorough understanding of dimensional ridge alterations post extraction (3, 34) revealed that this approach frequently complicates therapy, and a healing period of at least 6 months post extraction prior to implant placement is not really attractive any more to patients in daily practice. Thus, the timing of implant placement has become an important issue in the dental community in the past 15 years, and this topic has been specifically addressed at three consecutive ITI Consensus Conferences (ITI, International Team for Implantology) in the form of narrative or systematic reviews (38, 39, 43), and consensus conferences of other dental organizations (69, 93, 97). Based on these review papers and combined with the

clinical experience of attending master clinicians, consensus statements and clinical recommendations have been developed by the ITI (36, 70, 88).

The goal of this review paper is to present a historical analysis of how the topic of implant placement post extraction has evolved over the years, and what clinical approaches are recommended today. The review is limited to single tooth extractions in the esthetic zone, since this is a very frequent indication for implant therapy today (19) and the majority of clinical research in post-extraction implant placement relates to this clinical indication of implant therapy (38, 39). Its structure is based on several time periods defined by review papers of ITI Consensus Conferences.

Development of different implant placement protocols post extraction

Pioneer phase of immediate implant placement (1975–1989)

As already mentioned above, in the 1970s and early 1980s, implant dentistry was dominated by implant placement into healed sites. The credit for the first evaluation of immediate implant placement goes to Professor Wilfried Schulte from the University of Tübingen in Germany, who introduced the so-called Tübingen Immediate Implant in 1978 (98), which was a

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ceramic implant made of Al_2O_3 . Later, this implant was also marketed under the brand name Frialit-1. In the 1980s, this surgical approach was quite popular and triggered a heated debate at German congresses as to which implant material, titanium or Al_2O_3 , should be the material of choice for dental implants. This discussion came to an end, when the manufacturer of the implant switched over to titanium in the 1990s (67). The authors argued that an increased frequency of implant fractures mainly led to this switch to titanium. With that, this implant placement technique lost a lot of momentum in Germany. Outside of Germany, the technique was not really known at that time, since the publications were all published in German journals.

Around the 1990s, the concept of guided bone regeneration (GBR) utilizing bio-inert barrier membranes was introduced based on preclinical studies in animals (57, 58, 95). This new surgical technique should help to regenerate peri-implant bone defects in various clinical situations. The first case reports were published around 1990 (7, 83, 92).

Trial and error phase of immediate implant placement (1990–2003)

With the increasing popularity of the guided bone regeneration technique, barrier membranes were utilized more frequently in daily practice and one of the predominant indications was immediate implant placement. In the 1990s, several case reports or clinical studies reported various surgical techniques of guided bone regeneration for immediate implant placement (5, 6, 8, 14, 65, 80, 82, 91, 99, 109, 112). In most of the studies, expanded polytetrafluoroethylene (e-PTFE) membranes were utilized and frequent exposures were reported. In addition, most of the papers reported on the application of various bone fillers into the peri-implant bone defect including autogenous bone grafts and allografts. Mainly driven by the desire to reduce the complication rate with exposure of expanded polytetrafluoroethylene membranes, and to eliminate a second surgical procedure for membrane removal, a slow shift from bio-inert expanded polytetrafluoroethylene membranes to resorbable membranes was noted in the late 1990s and early 2000s (66, 71, 90, 112, 113). These resorbable membranes were mainly made of collagen or were synthetic in nature.

In the late 1990s, the first review papers were published (86, 100). In both reviews, it was noted that most of the publications were either case reports, or clinical studies with short-term observation.

Definition of terminology for timing options (2003)

In the context of the 3rd ITI Consensus Conference 2003 in Gstaad, Switzerland, a first systematic review was written on this topic by Chen et al. (43). For this review, only clinical studies with at least 10 patients, and a follow-up examination of at least 12 months, were accepted for inclusion. Thirty-one clinical studies fulfilled these requirements. The authors concluded that immediate and delayed immediate implants appeared to be predictable treatment modalities, with survival rates comparable to implants in healed ridges. However, there was a lack of data on long-term success as measured by esthetic parameters. In addition, the authors also noted that several classifications were proposed for the timing of implant placement in post-extraction sites (86, 110). Terms such as immediate, delayed-immediate, delayed, recent, early, mature, late and others lacked standardized definitions and made it difficult to interpret the data in the available literature. Clinically, this led to some confusion and inconsistency in determining the timing of implant placement after extraction for patients. The authors therefore saw a clear need for a classification that incorporated the timing and events of socket healing in a consistent way.

Thus, Hammerle et al. (67) proposed a classification of four categories (Type I–IV), which was then later amended for clarity reasons by adding a descriptive terminology in the 3rd volume of the ITI Treatment Guide series (Fig. 1) by Chen & Buser (37). Today, the terminology of immediate, early and late implant placement post extraction has been widely adopted (69, 97), and will be used in this review paper as well.

Documentation of esthetic complications, definition of risk factors (2008)

In subsequent years up to 2008, the number of clinical studies increased significantly as analyzed in a second systematic review by Chen & Buser (38). The literature search for the 4th ITI Consensus Conference 2008 in Stuttgart, Germany resulted in 91 studies, which met the inclusion criteria of at least 10 implants and at least 12 months of follow-up. The authors concluded that bone augmentation procedures are effective in promoting bone fill and defect resolution at implants in post-extraction sites, and that these procedures are more successful for immediate and early implant placement

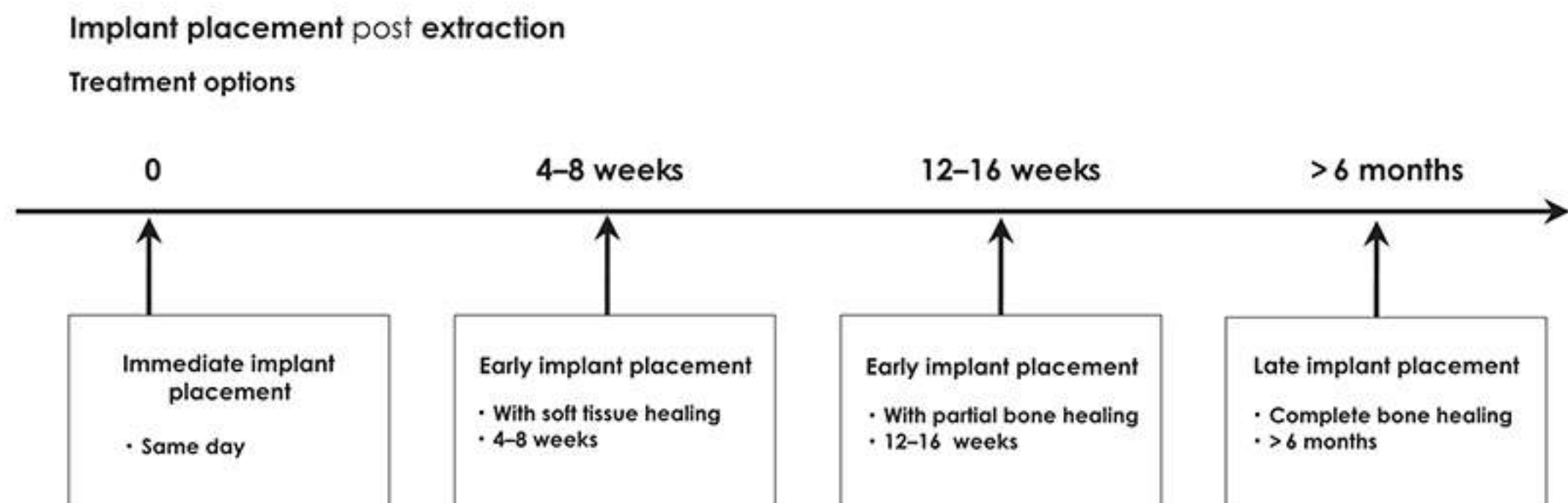


Fig. 1. The four treatment options for post-extraction implant placement as defined by the ITI in two ITI Consensus Conferences (2003 and 2008).

when compared with late implant placement. The majority of studies reported survival rates above 95%. The studies, however, reported detailed results about the esthetic outcomes, which was also favored by the development of esthetic indices such as the PES and WES (Pink Esthetic Score/White Esthetic Score) (9, 62). Recession of the facial mucosal margin was a common observation in several studies with immediate implants (41, 42, 51, 60, 61, 76, 79, 84). These studies reported a risk of 20–30% for a mid-facial mucosal recession of more than 1 mm. It should be noted, however, that these studies did not select patients for immediate implant placement with inclusion criteria based on the hard and soft tissue characteristics associated with the extracted teeth. In other words, immediate implants were placed irrespective of the local anatomy and conditions of the socket. From these studies reporting on esthetic complications, however, the authors were able to identify risk factors for mucosal recession including a thin tissue biotype, a facial malposition of the implant, and a thin or damaged facial bone wall at extraction.

In contrast, the first studies on early implant placement reported a lower risk of mucosal recession for early implant placement (20, 25, 53).

Definition of selection criteria for various treatment options (2013)

At the 5th ITI Consensus Conference in Bern, Switzerland in 2013, an up-dated systematic review was provided by Chen & Buser (39). This systematic review included 113 full text articles, of which data on esthetic outcomes were extracted from 50 studies. The analysis showed a considerable heterogeneity in study designs. Thus, a meta-analysis of controlled studies was not possible.

The analysis confirmed again, that immediate implant placement was associated with greater variability in esthetic outcomes and a higher frequency of a mucosal recession of > 1 mm mid-facially (median 26% of sites), when compared with early implant placement. However, it was noted that to minimize the risk of recession of the mid-facial mucosa, the majority of studies published after 2008 used selection criteria by only including sites with an intact facial bone wall and medium to thick tissue biotypes. The problem of soft tissue recession was also identified with the first radiographic studies examining the presence or absence of the facial bone wall at immediately placed implants with 3-dimensional (3D) cone beam computed tomography (CBCT) imaging. The first CBCT studies showed surprisingly high values of a missing facial bone wall in CBCT images ranging between 24 and 57% (10, 81, 87, 105). Sites with the absence of a detectable facial bone wall were associated with greater mucosal recession.

During the same period, the knowledge had been further expanded about the local anatomy of extraction sockets in the esthetic zone and about ridge alterations in patients. These studies using CBCT imaging showed a much more severe vertical bone loss mid-facially in extraction sites in the anterior maxilla with a thin wall phenotype (< 1 mm) (34), when compared to data from preclinical studies with beagle dogs in premolar sites of the mandible (3). Several CBCT studies demonstrated that a thin or damaged facial bone wall is predominantly present in extraction sites of the anterior maxilla (17, 72, 106) with mean values of 0.5–0.6 mm. One study showed that the frequency of a thick wall phenotype is significantly higher in first premolar sites at roughly 28% (17).

With the knowledge of CBCT studies, the ITI Consensus Conference came up with clear

recommendations for when to use each treatment option (88). Immediate implant placement (type I) is considered a complex procedure and should only be performed by experienced master clinicians, when ideal anatomic conditions are present. This includes (i) a fully intact facial bone wall at the extraction site with a thick wall phenotype (> 1 mm), (ii) a thick gingival biotype, (iii) no acute infection at the extraction site, and (iv) a sufficient volume of bone apical and palatal of the extraction site to allow implant insertion in a correct 3D position with sufficient primary stability. When these ideal conditions are not met, the ITI recommends early implant placement after 4–8 weeks of soft tissue healing (type 2). In cases where it is anticipated that primary stability cannot be achieved after 4–8 weeks, the post-extraction healing period should be extended to allow partial bone healing (type 3).

With the current knowledge today of the site-related risk factors and the anticipated significant post-extraction bone resorption of the mid-facial socket wall (3, 34), it is neither feasible nor ethical to conduct randomized clinical trials comparing surgical treatment options and different time points for implant placement after extraction in most instances. Thus, well-designed prospective case series studies in which strict inclusion and exclusion criteria are applied represent the majority of the research methodology in the field of post-extraction implant placement today. Furthermore, it is considered inappropriate to compare case series studies of different surgical techniques and timing of implant placement if the inclusion criteria between the studies are different. For example, case series studies of immediate implant placement that include only cases with thick and intact facial bone walls cannot be compared with studies of early implant placement in which cases with thin and/or damaged facial bone walls are included as well.

In the most recent systematic review by Chen & Buser (39) on his subject, the authors recognized this limitation and sought to examine trends in outcomes by selecting well designed prospective case series studies for further analysis. These studies were relatively short term in duration, ranging from 1–3 years in duration. In general, a greater variation between studies was seen with immediate implant placement (based on 13 case series studies) (18, 30, 31, 46, 52, 54, 61, 68, 76, 78, 85, 102, 103), when compared with early implant placement (three studies) (20, 29, 53).

For studies of immediate implants, different combinations of surgical protocols (flap vs flapless

surgery), grafting (bone and/or connective tissue grafts) and loading protocols (immediate loading vs early/conventional) were identified. The least variation in outcomes between studies (three studies) was observed when a treatment approach of flapless extraction and implant placement was combined with bone grafting, connective tissue grafting and attachment of an immediate provisional crown (46, 78, 103).

Recommendation for different implant placement protocols post extraction

Nowadays, implant placement post extraction of a single tooth in the esthetic zone is a frequent indication of implant therapy (19), and the clinician has four options to choose from. Today, all four options can be used depending on the clinical and radiographic preoperative analysis to assess the patients risk profile. However, these four options are not used to the same extent and frequency.

The primary objective of implant therapy in the esthetic zone is an optimal esthetic treatment outcome with high predictability and a low risk of complication (23). Esthetic outcomes in sites with post-extraction implant placement must be viewed from a mid- to long-term perspective, since the stability of the facial hard and soft tissues is most important. Clinical studies have indicated that tissue alterations can be observed after many years post placement (55, 77). Secondary objectives include the least number of surgical interventions, in particular open flap

Table 1. Anatomical structures to examine in a single tooth extraction site in the esthetic zone

1. The thickness, height and integrity of the facial bone wall
2. The height and thickness of the palatal bone wall
3. The crest width mesially and distally to the extraction site, measured 3 mm apical to the cemento-enamel junction of adjacent teeth
4. The height and inclination of the alveolar ridge
5. The height of the alveolar bone at adjacent teeth
6. The location and extension of the naso-palatal canal
7. The bone volume available apically and palatally of the root
8. The mesio-distal size of the resulting single tooth gap post extraction

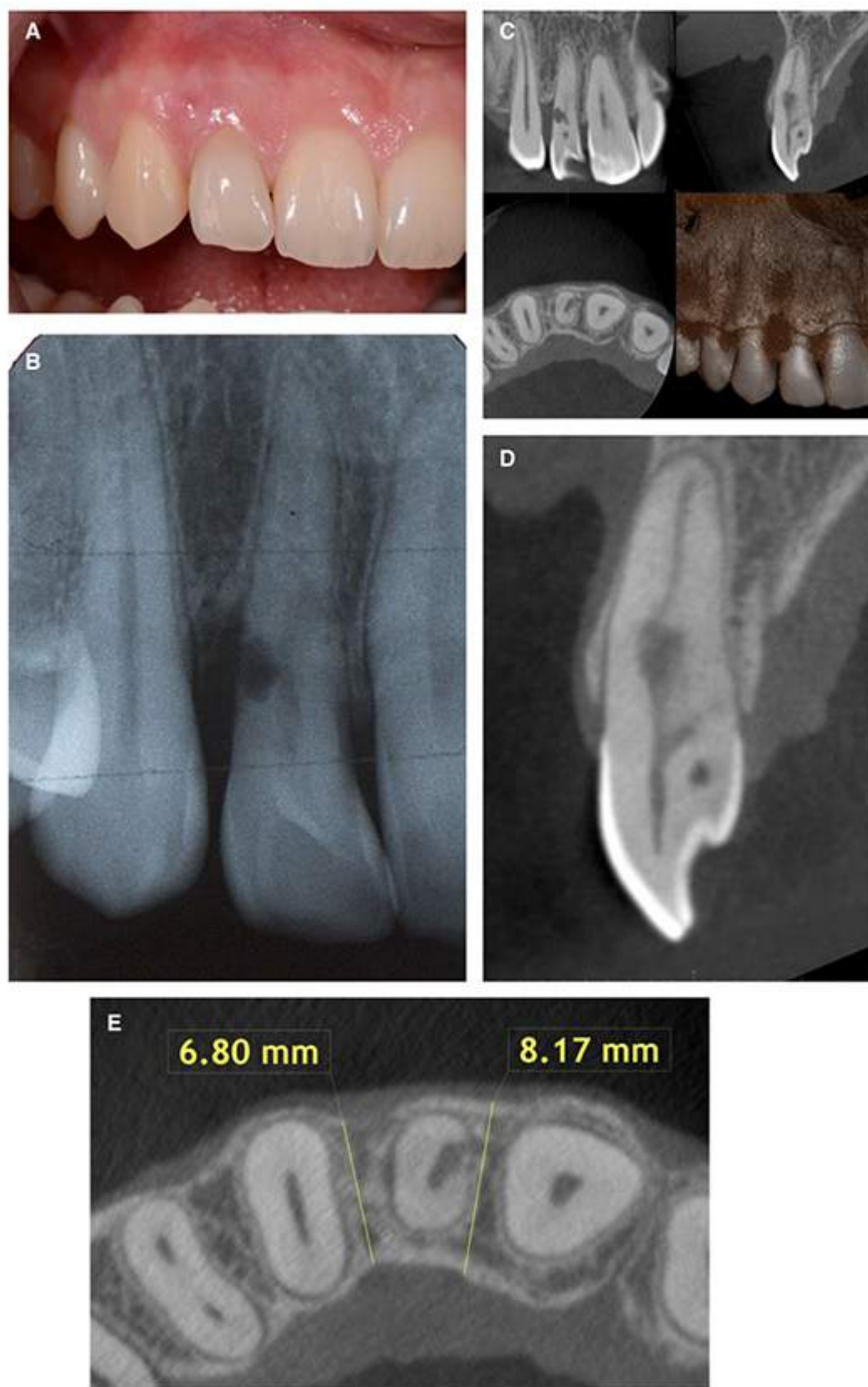


Fig. 2. (A) Clinical status of a lateral incisor in the maxilla with increased probing depth at the distal aspect and a fistula about 3 mm from the gingival margin. The patient yields a highly scalloped gingiva and thin soft tissue biotype. Both are high risk factors for implant therapy. (B) The periapical radiograph shows a lesion in the root indicating an external resorption, and a vertical bone loss distal to the root. (C) A 4×4 cm CBCT was made to examine the local anatomy. Several anatomical structures are critical to be examined for a potential implant placement and the respective timing option. (D) The sagittal, oro-facial cut shows a thin facial bone wall, which will be prone for post-extraction resorption due to bundle both resorption. The root resorption is clearly visible. (E) The horizontal cut taken approximately 3 mm apical to the cemento-enamel junction of tooth #12, the crest width mesially and distally of the tooth must be measured. In the present case, the crest measures more than 6 mm, which will provide a 2-wall defect on the facial aspect following implant insertion in a correct, slightly palatal position.

procedures, the least possible pain and morbidity for the patient, a short overall healing and treatment period, and last but not least to deliver the treatment with good cost effectiveness. In the past 15 years, major efforts have been made to improve aspects of these secondary objectives, since they are able to make implant therapy more attractive for patients. However, these secondary objectives should not jeopardize the primary objectives and cause reduced esthetic outcomes or increased rates of complications.

As a consequence, the clinician must carefully examine the patient's risk profile before the treatment plan is established. This must be done prior to

tooth extraction, since tooth extraction is the first step in treatment, and should be regarded as an integral part of the overall treatment plan. Besides medical risk factors and habits such as smoking, the local anatomy is most important for the selection of the treatment option. Thus, a 3D CBCT is most often used to assess the details of the local anatomy (11). This includes a number of anatomical structures as listed in Table 1. It should be noted that there are major differences in image quality between various CBCT machines (13). In addition, a small volume such as 4×4 cm and a high speed image acquisition should be chosen to limit the radiation exposure for

the patient, in particular in young individuals. A typical example featuring the extraction of a lateral incisor is shown in Fig. 2A–E.

In its ITI Consensus Conference 2013, the ITI has clearly indicated that implants should be placed in the early time frame after tooth extraction, either as an immediate or early implant placement approach. It is preferable to avoid a post-extraction healing period of 6 months or longer, since the delay is not at all attractive to patients (88). In addition, there is a risk for significant ridge alterations or even ridge atrophy. Thus, late implant placement should only be used if there are patient and site specific reasons for considering this approach. In these circumstances, socket grafting procedures for ridge preservation should be considered if implant placement needs to be postponed for lengthy periods of time.

Indications for immediate implant placement

Immediate implant placement can be used in ideal clinical conditions. The most important requirements are a fully intact facial bone wall with a thick wall phenotype (> 1 mm) and a thick gingival biotype. When both conditions are present, there is a low risk for recession of the facial mucosa and orofacial flattening of the soft tissue profile at the neck of the implant prosthesis. In addition, there should be an absence of acute purulent infection in the extraction site and a sufficient bone volume apically and palatally of the extracted root to allow a correct 3D implant positioning with good primary stability. It should be noted that these conditions are seldom encountered in the anterior maxilla. According to various CBCT studies, a thick wall phenotype is rarely present in the anterior maxilla (17, 72, 106). The study by Braut et al. (17) analyzed the facial bone wall thickness at various tooth positions in the anterior maxilla. In central incisor sites, only 4.6% had a thick wall phenotype (> 1 mm), whereas this condition was present in 27.5% of the first premolar sites. There seems to be a correlation between facial bone wall phenotype and soft tissue biotype (47), although recent studies showed conflicting results (35, 111), most likely due to methodological differences. However, the facial soft tissue thickness in the anterior maxilla is generally thin (89), and the majority of maxillary anterior teeth should be anticipated to have a thin soft tissue biotype. In addition to these factors, the facial bone wall is often not intact and has been damaged by pathological processes associated with vertical root fractures and endodontic complications.

In a recent study of 34 consecutively extracted maxillary central incisors, 18 sites (52%) demonstrated dehiscence or fenestration defects of the facial bone (40). The local damage to the alveolus can be significant, as described in a study by Cooper et al. (48), who reported that 15 of 73 patients scheduled for immediate single tooth implants (21% of sites) could not proceed with the planned treatment because of significant loss of bone at the extraction site.

To really optimize the previously described secondary objectives, immediate implant placement should be performed flapless in these ideal conditions to avoid an open-flap procedure. Compared with open-flap immediate implant placement, flapless implant placement has been shown to be associated with less recession of the mid-facial mucosa (94). This offers the least possible morbidity for the patient and the possibility of a reduced number of post-surgical visits. Although it might seem to be a simple surgical procedure, a flapless approach for the placement of an implant into a fresh extraction socket is considered a complex surgical procedure. It requires a skilled implant surgeon with talent and experience. Implant bed preparation into the sloping anatomy of the palatal bone structure is difficult due to impaired visual access during surgery. There is also a risk of an unnoticed apical perforation of the facial bone if an incorrect axis of preparation is used. It can be performed freehand, the so-called brain-guided approach, or with computer-assisted implant surgery (63). A facial malposition of the implant must be avoided by all means, since this is a common mistake with immediate implant placement and presents a risk factor for mucosal recession (61). Corono-apically, the implant shoulder should be placed just apical to the mid-facial bone crest in order to compensate for approximately 0.5–1.0 mm of crestal bone resorption that may be anticipated following flapless extraction (40). The implant should be placed in such a way as to maintain a gap of at least 2 mm between the implant and the internal surface of the facial bone wall, as recommended in the treatment guidelines proposed in a recent ITI Consensus Conference (88). This provides sufficient space to fill the bone defect between the exposed implant surface and the facial bone wall with an appropriate bone filler. Today, bone fillers with a low substitution rate, such as deproteinized bovine bone mineral (DBBM; Bio-Oss®, Geistlich Biomaterials, Wolhusen, Switzerland) have been recommended, since they reduce the amount of post surgical oro-facial bone resorption (42). A gap of this dimension also provides a space for the formation of a blood clot which can subsequently

reorganize into a provisional connective tissue matrix and support the formation of newly formed woven bone. This was demonstrated in a preclinical study in which a wider defect and bone wall dimension was associated with less crestal bone height reduction and more bone to implant contact than a narrower defect and bone wall dimension (4). A typical case report is presented in Fig. 3A–P.

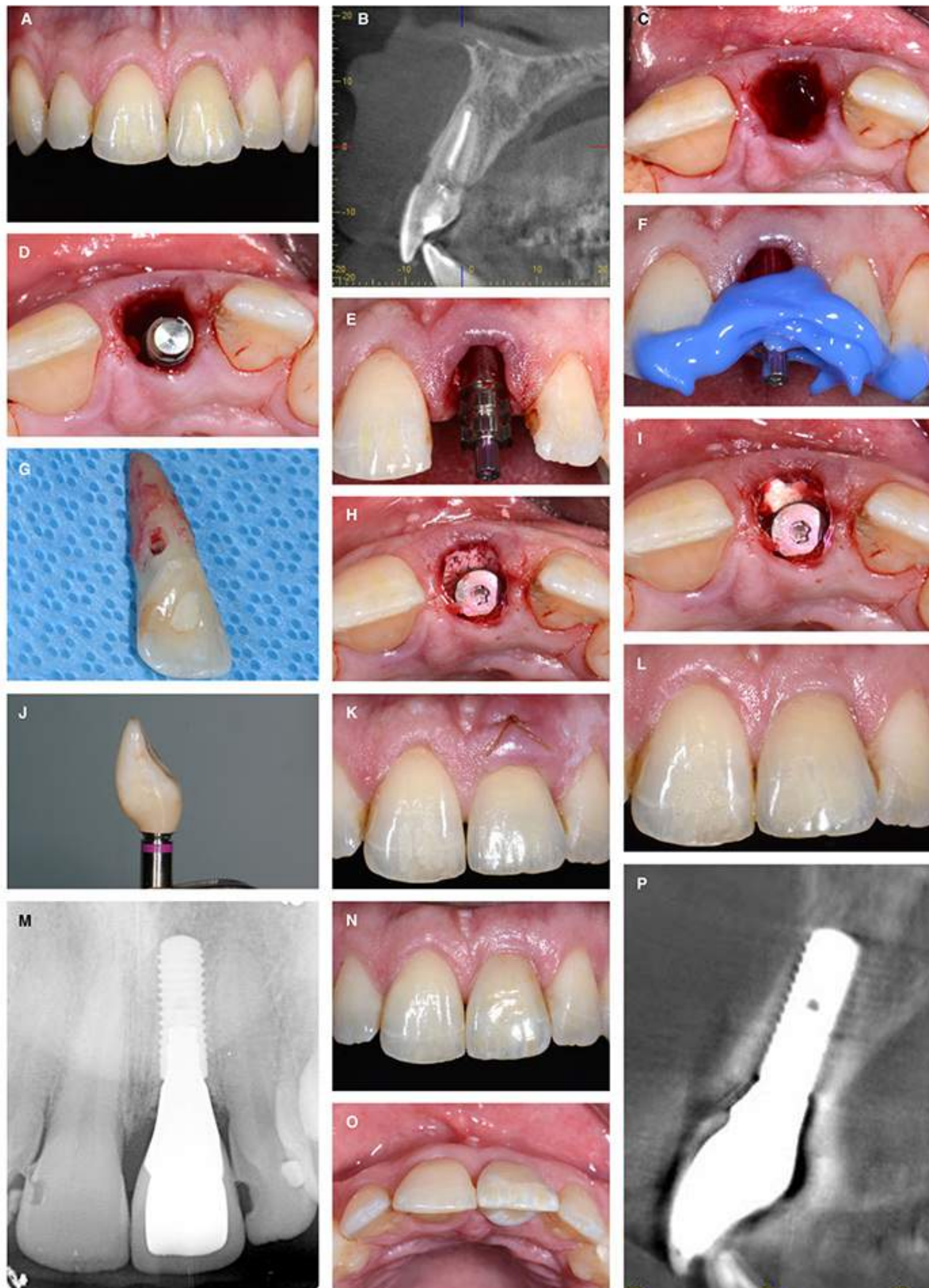


Fig. 3. (A) A 44-year-old male patient was referred for replacement of the upper left central incisor. There was a history of trauma 16 years previously which resulted in subluxation of the tooth. The tooth was endodontically treated, but subsequently developed external cervical resorption. Clinically, the maxillary central incisors were triangular in shape, and the midline interdental papilla was long. The soft tissue phenotype was thick. (B) 3D assessment using CBCT confirmed the extent of the cervical resorption lesion which had almost completely undermined the crown. The facial bone wall was intact and approximately 1 mm thick in the crestal region. There was absence of apical pathology and there was adequate bone volume apical and palatal to the root apex to allow an implant to be inserted. (C) The clinical conditions were suitable for an immediate (type 1) implant placement according to ITI treatment guidelines. The plan was for a flapless surgical approach and immediate provisionalization. This image illustrates the occlusal view immediately after flapless extraction of the tooth. The socket was inspected to confirm that all bone walls were intact. All remnants of granulation tissue were removed with currettes. (D) The implant osteotomy was prepared utilizing a surgical template to ensure ideal implant position. The image shows the implant with mount attached in the correct mesiodistal and orofacial position. A gap of 2 mm was maintained between the implant shoulder and the internal aspect of the facial wall. (E) The anterior view confirms the correct coronal position of the implant. A distance of slightly more than 3 mm was present between the mid-facial gingival margin and the shoulder of the implant. Within the socket, the shoulder of the implant was positioned just apical to the facial and palatal bone crest. (F) An index of the implant position was obtained using an impression coping and bite registration material based on silicon. (G) The extracted tooth and index of the implant was then delivered to the prosthodontist for construction of a provisional crown. (H) The marginal gap between the implant and the facial bone

wall was grafted with particles of deproteinized bovine bone mineral (BioOss[®], Wolhusen, Switzerland). (I) An absorbable hemostatic gelatin sponge (SpongostanTM, Ferrosan Medical Devices A/S, Søborg, Denmark) was gently packed into the gap between the healing cap and facial soft tissues to protect the graft. A horizontal mattress suture was placed to secure the gelatin sponge in position. (J) Immediately after the procedure, the patient was seen by the prosthodontist to insert the provisional crown. The provisional crown (pictured here) was constructed from the natural tooth crown and attached to the implant. Care was taken to ensure that the provisional crown was free of occlusal contacts. (K) The patient was reviewed 1 week following surgery. He reported no post-operative adverse events. The soft tissues were oedematous. (L) The patient returned for a further review 10 weeks after implant surgery. No complications were reported. The marginal soft tissues were healthy. The patient was then referred to the prosthodontist to commence construction of the definitive crown. (M) 3 months after surgery, the definitive crown was delivered. The crown was a CAD-CAM zirconia screw retained prosthesis. A periapical radiographic control was taken immediately following insertion of the definitive restoration. (N) This clinical image illustrates the 1-year post-operative situation. The marginal tissues were healthy, with shallow probing pocket depths and absence of bleeding after probing. The level of the mid-facial mucosa was similar to that of the adjacent natural central incisor. (O) This clinical image illustrates the 1-year post-operative situation. The marginal tissues were healthy, with shallow probing pockets and absence of bleeding after gentle probing. The level of the mid-facial mucosa was similar to that of the adjacent natural central incisor. (P) A CBCT scan was obtained at the 1-year recall. The sagittal reconstruction confirmed the presence of a thick and intact facial bone wall. The facial bone margin was coronal to the implant abutment junction. Acknowledgement: Dr Anthony Dickinson, prosthodontist in Melbourne, Australia.

In recent years, this immediate placement approach has been fine-tuned with a dual zone augmentation technique (101), and a socket seal technique utilizing a prefabricated shell made of acrylic and immediate restoration, out of occlusion (44). The esthetic results are quite promising, but to date only short-term in nature (45). Thus, mid- to long-term studies with a minimum of 5 years of follow-up are needed to judge the esthetic long-term stability of this interesting approach. A recent 5-year study by Cooper et al. (49) showed satisfying esthetic outcomes, when

compared with implants placed into healed sites, whereas another recent 5-year study by Cosyn et al. (55) demonstrated unsatisfactory esthetic outcomes although these patients were carefully selected and treated by experienced and skilled clinicians. The latter study confirmed similar observations with a deterioration of the facial tissues after several years in a study by Kan et al. (77). Today, such long-term studies should always include a 3D high quality CBCT examination to visualize the status of the facial bone wall at the implant site.